

**Major Airway**

**Obstruction**

## Introduction

- Obstruction of major airways can result from a variety of disease processes and is a cause of significant morbidity and mortality
- Increasing no. of pts. with lung cancer develop complications of prox. endobronchial disease
  - 20-30% pts with lung cancer develop complications (Atelectasis, Pneumonia, Dyspnea)
  - Upto 40% lung cancer death may be attributed to loco-regional disease
  - With ↑ use of Artificial airways such as ETT, incidence of iatrogenic complications is also increasing

# **ETIOLOGY**

- **Infections:**

  - Ac. Epiglottitis

  - Laryngo tracheo bronchitis

  - Ludwig's Angina

  - Ac. Tonsillitis with/without Retropharyngeal abscess

  - Bacterial bronchitis

  - TB

- **Angioedema:**

  - Exposure to Drugs

  - [Narcotics, Aspirin, NSAID, ACE(-)]

  - C1 esterase deficiency.

- **Tumors:**

- Pharynx

- Larynx – Haemangioma

- Ca → Supra glottic regions

- Glottic regions

- Sub-glottic regions

- Trachea & Bronchi

- Adenoid Cystic Ca

- Sq. Cell Ca

- Secondary inv.-

- Bronchogenic Ca

- Laryngeal, Esophageal.

- Thyroid Malignancies.

- **Trauma:**

  - Facial [Crush Injuries, # Mandible]

  - Laryngeal

  - Tracheobronchial injuries

  - Inhalational Injuries

- **Vascular Causes:**

  - Innominate Artery syndr.

  - Thoracic Aorta aneurysms

  - Double aortic arch

- **Foreign Body Aspiration:**

- **Neuromuscular Disorders:**

  - Myasthenia gravis

  - Motor neuron diseases

- **Iatrogenic Causes:**

  - Vocal cord granuloma

  - Glottic stenosis

  - Vocal cord Paralysis

  - Tracheal Stenosis

- **Miscellaneous Causes:**

- Collagen vascular diseases

- Relapsing Polychondritis

- RA

- Sjogren's Syndr.

- Tracheal abnormalities

- Tracheobronchiomegaly

- Saber sheath Trachea

- Tracheobronchopathia

- Osteochondroplastica

- **C/F:**

Mild Airflow Obstr → Asymptomatic

Dyspnea, Noisy breathing [wheeze, stridor]

Post-obstr Pneumonia, Collapse, U/L wheeze

Hoarseness of voice

Exertional dyspnea:

Tracheal diameter-8mm

Stridor /Dyspnea at rest:

Tracheal diameter-5 mm

- **Physiological assessment**

- Diameter of lumen < 8 mm :

Produce abnormal flow-volume loops.

Initial effort dependent portion affected in CAO



Type	Example	Flow characteristic	FEF / FIF	
			50%	50%
Variable Extra-thoracic	Vocal Cord palsy Glottic Stricture Tumors	Increased Obstn.during inspiration resulting ↓inspiratory flow.	> 2	
Variable Intrathoracic	Malignant tumors, Tracheomalacia	Forced Expiration Increases obstruction	~ 0.3	
Fixed Extra Or-Intrathoracic	Goitre Post-intubation Stricture	Fixed flow with Inspiration and Expiration	~ 1	

- Spirometry should not be done in CAO, Resp. distress → Induce Resp. failure

# Radiology:

- CXR:
  - Rarely diagnostic
  - Tracheal deviation can be identified
- CT Scan:
  - Provide much more information
  - Document dynamic airway collapse
  - Multiplanar/3D reconstruction:
    - Better visualization
    - Whether lesions are intraluminal/Extrinsic to airways/features of both
    - Whether airways distal to obstr. are patent
    - Relationship to other structures such as vessels

- Bronchoscopy: (Rigid/Flexible)
  - Helps in assessing obstr.
  - Direct visualization allows nature and extent of obstr. to be determined
  - Allows tissue diagnosis
- Endobronchial ultrasound
  - Extremely sensitive for determining degree of tracheal invasion
  - Aids in planning therapeutic interventions
  - Study by Herth and Colleagues, EBUS utilized in 1174 of 2446 cases over 3 yrs. period. It was found to guide/change management in 43% and change included selecting proper stent size/guiding tumor debridement/selecting pts. for endoscopic therapy Vs surgery

# Securing the Airway

- Unstable pts.: Airway immediately stabilized  
TT: Procedure of choice for stabilizing severe upper airway obstr.
- Distal airway obstr.: ETT, Rigid bronchoscopy
- Rigid Bronchoscopy:
- Allows passage of various instruments
- Barrel of scope – Tamponade a bleeding central lesion
- Heliox: Mixture of 60-80% helium, 20-40% O<sub>2</sub>
- Can be used as a bridge in pts. With CAO and resp. distress.
- Heliox ↓ Reynolds number resulting in establishment of laminar flow  
↓
- ↓ Driving pressure to achieve a given flow  
↓
- ↓WOB allowing for more stable intubation either ETT/RB

- **Anesthesia for Airway Obstruction**
- Majority of these pts. Are ASA III/IV
- Inducing agent: IV anesthesia or inhalational
  - (i) Rapid, Smooth induction
  - (ii) Less airway irritation
- Stable pts.:
  - Combination of short acting IV anaesthetic agent (Propofol) with midazolam/fentanyl/vecuronium
- Provide effective and safe anaesthesia/amnesia/pain control and muscle relaxation
- Airway fires:
  - Use of flammable anaesthetics
  - High conc. O<sub>2</sub> in presence of lasers/electrocautery
  - ETT/stents can ignite with lasers.

- **Therapeutic Approaches**
- **Dilation of airway (Bronchoplasty)**
- Emergencies: airways dilated with barrel of RB
- Less urgent cases: sequential dilation with balloons preferred
- Indication
- Airway stenosis following lung Tx and surgical resection of airways
- Post-intubation tracheal stenosis
- Malignant airway Obstr
- Preparation of airways for stent placement, Brachytherapy catheters

FOB → visualize the stenosis



Vascular 'J' wire introduced through bronchoscope port & visualized in the lumen



Wire inserted in the stenotic segment



FOB withdrawn over the wire in a manner similar to Seldinger tech.



Pediatric bronchoscope re-introduced adjacent to wire through ETT to check its position



Angioplasty balloon catheter introduced over wire and directed across stenosis with pediatric scope



Balloon inflated 5-7 times to 4 atm. pressure under direct visualization for approx. 30 sec.



Balloon fully deflated, withdrawn and then 5mm diameter adult size bronchoscope passed to assess for bleeding/airway trauma

## **Complication:**

– Mucosal trauma → Granulation → Restenosis  
(prevented with laser/stent placement)

- Pneumothorax
- Pneumomediastinum
- Mediastinitis
- Bleeding

} Less common



# ENDO BRONCHIAL LASER THERAPY

Physics: Light Amplified by the stimulated Emission of Radiation [Laser]

LASER is effective because

1. Monochromatic: Narrow band of wavelength
2. Spatial Coherence: minimal divergence, Maintains Intensity
3. Temporal Coherence: Energy packets travel in uniform time with equal alignment.

Amount of Energy delivered to a lesion depend on

1. Power setting of laser (watts)
2. Distance of laser tip to target
3. Duration of impact

Two most commonly applied material used for  
Laser:

CO<sub>2</sub> Laser

Neodymium : yttrium- Aluminum-garnet [Nd:  
YAG] Laser

Mech. Of Action:

Laser absorbed by tissues

Water temperature in tissues raised to 100°C

Vaporization

Cell Shrinkage and death.

## **Indications:**

Benign/Malignant Airway Lesions associated with

- Dyspnea
- Cough (Uncontrolled)
- Impending Asphyxiation
- Stridor
- Post-obstructive pneumonia
- Unresolving atelectasis
- Nearly complete ( $> 50\%$ ) obstn. Of one major bronchus
- Recurrent haemoptysis

# CONTRA-INDICATIONS:

## Anatomic

- Extrinsic obstr. Without endobronchial lesion
- Lesion incursion into bordering major vascular structure with potential for fistula formation
- Lesion incursion into bordering Esophagus/mediastinum with potential for fistula formation

## Clinical

- Candidate for surgical resection
- Unfavorable short term prognosis with hope for palliation of symptoms.
- Inability to undergo conscious sedation/GA
- Coagulation disorder
- Total obstr. > 4-6 wks.

## **Equipment:**

- CO<sub>2</sub> Laser – Extensive equipment [Articulating arms series of mirrors]  
Used for lesion of larynx
- Nd-YAG Laser can be delivered by flexible cable
- Flexible/Rigid Bronchoscope may be used

## **Complications:**

### **Malfunction of equipment**

- Light Scatter – Retinal damage [Keep the Laser in Stand by mode when not inserted through scope]
- Cable Breakage

## **Anaesthesia:**

- Anoxia- Compromised ventilation due to FOB/Haemorrhage or Debris
- Endotracheal fire:            Use of combustible anaesthetic gases (Halothane)  
   Supplemental O<sub>2</sub>  
   Pigmented ETT
- Avoided by:                    Lower FiO<sub>2</sub>, Clear ETT, Rigid Scope

- Peri-Operative:

- Cardiovascular- Arrhythmia: SVT 5%  
Hypotension 10%
- Perforation of Underlying/Contiguous structure
  - Haemorrhage
  - Pneumothorax
  - Pneumomediastinum

## Personne et al (J Thorac Cardiovasc Surg, 1986)

- 2284 endoscopic laser resections for tracheo bronchial lesion in 1310 pts.
- Indications:
- Malignant tumors (>50%)
- Benign lesions: 40% (73% – Stenosis, 27% granulomas)
- Results:
  - >50% had remission of significant airway obstr. for atleast 6mths.
  - 25% free of airway obstr. for atleast 1yr.
- Complications:
  - Pneumothorax 1%
  - Haemorrhage resulting in death 3pts.  
(Involvement of major vessels like pul.artery/  
innominate artery)



## Venuta F (Ann Thorac Surg, 2002)

- 351 Nd-YAG Laser resection performed in 273 pts. With lung cancer
  - Trachea - 64pts.
  - Main bronchi – 154 pts.
  - Bronchus intermedius/distal airway – 55 pts.
- Median survival was 12.1 mths.
- Airway caliber improved in 89% pts.  
Improvement in FEV<sub>1</sub>/PaO<sub>2</sub>/performance status
- Major complications
  - Bleeding –7 pts.
  - Hypoxia – 5 pts.

## CRYOTHERAPY

- Scientific Basis
- Necessary temperature for tissue destruction is  $-15$  to  $-40^{\circ}\text{C}$
- Other Factors - (i) Rapid freezing and slow thawing – Max. cell death  
(ii) Repeat cycling -  $\uparrow$  Destruction  
(iii) Mass of tissue frozen – Large contact area with probe  $\uparrow$  tissue mass exposed to freezing
- Cellular mechanisms:
  1. Formation of Extra-cellular ice crystals
    - Increased Extra-cellular toxicity
    - Increased Intra-cellular toxicity (d/t water shift)
    - Cell shrinkage
    - Membrane damage

2. Intra-cellular ice crystals damage organelles –  
Mitochondria, Endoplasmic reticulum
3. Change in intracellular pH- Protein/Enzyme  
damage
4. Freezing – Vasconstriction  
Micro-thrombi Formation } Devascularization- Cell  
Death

## Cryosensitive

- Skin
- Mucous Memb.
- Nerve
- Endothelium
- Granulation tissue

## Cryoresistant

- Fat
- Cartilage
- Nerve sheath
- Connective tissue
- Fibrosis

• Microscopic epithelial & cartilagenous changes resolve over 4-6 wks.

## Indications & Patient Selection

- Used only when palliation is reqd.
- Lesion should be accessible to cryoprobe, polypoidal, short length, large endobronchial component, Allow some visibility beyond lesion, functioning lung distal to the lesion.
- May be used to remove mucus plugs, blood clots, FB

## Equipment & Techniques

- Cryogen used in liquid phase – Vaporizes – Removes heat  
Probe/Tissue cooled
- Nitrous Oxide, Liquid Nitrogen are most commonly used.
- N<sub>2</sub>O cools the probe tip to – 89°C  
Temp ↑ by 10°C per mm from tip [warning effect]
  - Effective killing zone is 5-8 mm
- Probe diameter:
  - Flexible – 2.2mm
  - Semirigid – 2.6mm
- Pre-op evaluation similar to any routine Bronchoscopy procedure

- Procedure

- Sedated

- ETT intubation [Airway control, removal of debris]
    - Anaesthetize airways
    - FOB
    - Inspect airways & localize pathology
    - Cryoprobe passed through working channel until tip protrudes from the scope by approx 2cm
    - Under direct visualization tip applied to lesion perpendicularly/tangentially
    - Ice ball forms within 10-15 sec.
    - Freezing time of 30-60 sec.
    - Multiple freeze – thaw cycles applied
    - Forceps- Remove tissue, debris

## Results

- Maiwand & Homasson: 600 pts.  
[Clin Chest Med, 1995] Most had Sq Cell Ca  
1/3 pts. Received cryotherapy  
78% subjective improvement
- Mathur et al:  
(Chest 1997) Tumor removed in 18 of 20 pts.  
with malignant CAO  
12/17 pts. With dyspnea  
5/5 pts. With haemoptysis  
improved clinically

## Complications

- Maiwand & Homasson reported – One death from cardio resp. failure in 600 cases [within 5hrs of procedure] – 2 case of TOF
  - Mathur et al: One cardio-resp. arrest – Pt. Survived
  - Other: Pneumothorax, Bronchospasm  
Fever  
Bradycardia
- } Reported in few numbers



# Electrocautery

- Used current to produce heat & destroy tissue.
- **Scientific Basis**
- Alternating current at a high frequency [ $10^5$ - $10^7$  Hz] is used to generate heat which coagulates, vaporizes or cuts tissue.
- Tissue resistance to current generates heat
- Low freq. Current [ $<10^5$  Hz] stimulates nerves/muscles so this avoided.
- At  $70^{\circ}\text{C}$  tissue coagulates,  $>200^{\circ}\text{C}$  tissue carbonizes
- Heat
  - Evaporation of Cell water – Tissue destruction
  - Chemical breakdown of cell/tissue constituent
- Electrocautery devices are monopolar:      Bronchoscope/Generator/Pt.  
Should be grounded to  
complete current

## **Indication & Pt. Selection**

- Similar to cryotherapy
- Impending resp. failure: Accomplish rapid debulking of tumor [Contrary to crytherapy]

## **Equipment & Technique**

- Insulated FOB with working channel 2.0-2.6mm
- Electrocautery– Blunt tip probe – 1.9mm diameter  
Snare – 1.8mm diameter
- Pt. Grounded with an Electrode pad
- Procedure similar to cryotherapy
- Elongated, flat lesions – Blunt probe used
- Polypoid lesion – snare
- Probe is placed in contact with the tissue so blanching occurs
  - Generator activated
  - Coagulate/cut tissues
  - Debris removed with forceps

## Results

- Homasson et al:
- 56 pts.
  - Haemoptysis controlled in 75% cases
  - Dyspnea improved in 67%
  - Cough/stridor improved in 55%
- Sutedja et al:
- 15 of 17 pts had immediate restoration of a patent airway defined as >75% of normal airway
  - dyspnea relief – 8 pts
  - Control of haemoptysis – 4 pts

## Complications

- Endobronchial fire (↑ if high FiO<sub>2</sub> used)
- Pacemaker/AICD – May result in devices malfunction

# Argon Plasma Coagulation

- Mode of non contact electro-coagulation
- Tungsten electrode creates 5000-6000V spark at tip of probe
- Ionize argon gas released at probe tip
  - ↓
- Argon plasma
  - ↓
- Coagulative Necrosis
- Coagulation depth- 2-3mm
- Repeat Bronchoscopy reqd. after 1-3 days to remove necrotic material
- Proper grounding & electrical safety must be ensured  $FiO_2$  to be kept below 0.4
- Used for malignant CAO
  - OCC – CAO sec. To granulation tissue at surgical site anastomosis
  - Resp. papillomatosis

## Brachytherapy

Brachytherapy allows radiation to be delivered endobronchially most commonly used source: Ir<sup>192</sup>

### **Techniques & Dosage**

- After loading technique:
  - FOB is used to place the blind-tipped catheter at desired position
  - Radiation source loaded afterwards
- Can be delivered by Low dose rate [LDR]. Intermediate dose rate [IDR] or High dose rate [HDR] methods

**LDR:** 75-200 cGy/hr use requires placement for 20-60 hrs treatment given in one session– Requires hospitalization

**IDR:** 200-1200 cGy/hr each session lasting 1-4hrs.

## **HDR:**

- > 1200 cGy/hr
- Delivered in 3 fractions at weekly interval lasting 3-30 min
- Treated as OPD basis
- Requires multiple FOB

## **Pts selection:**

- NSCLC/Metastatic carcinoma
- Biopsy proven carcinoma
- Not eligible for curative therapy
- Tumors: Extrinsic/Intrinsic
- Residual tumor post surgery/post-procedures

- CI:
  - Fistulas
  - Malignancy – not proven
  - Moribund
- Advantage:
  - Catheter can be placed in all bronchi, segmental bronchi
  - Peribronchial disease
- Disadvantage:
  - Intolerance of catheter
  - Radiation induced bronchitis, cough
  - Fistula formation between bronchioles and Esophagus/pleura/great vessels
  - Haemorrhage
  - Infection
- Complications
  - Massive Haemoptysis
  - Fistula formation in mediastinum



## Muto P et al (Oncologist, 2000)

- 320 pts. With lung cancer received HDRBT with Ir <sup>192</sup>
  - 84 received 10Gy in 1 fraction (Gr. A)
  - 47 received 7Gy in 2 fraction (Gr. B)
  - 189 received 5Gy in 3 fraction (Gr. C)
- Mean survival was 10 mths. from HDRBT
- Symptomatic Improvement:
  - Dyspnea – 90%
  - Cough – 82%
  - Haemoptysis – 94%
  - Obstr. Pneumonia – 90%
- Performance status improved in 70%
- Side-effects: Radiation Bronchitis
  - 80% Gr. A
  - 48% Gr. B
  - 20% Gr. C

Fatal Haemoptysis – 5% (similar in each group)

## **Lo TC et al (Radiother Oncol, 1995)**

### **LDRBT**

- Gr. 1- 110 pts.
- 30-60 Gy in 1-2 sessions

### **HDRBT**

- Gr. 2 – 59 pts.
- 7 Gy 3wkly session

Clinical improvement, survival rates, complications were similar in both groups

## **Gollins SW (Radiother Oncol, 1994)**

406 pts. Treated with HDRBT using Ir<sup>192</sup>

Category I: 324 pts. (80%) previously unirradiated and received single fraction of 15-20 Gy

- Improvement in symptoms at 6 mths:
  - Stridor – 92%
  - Haemoptysis – 88%
  - Dyspnea 60%
  - Pain 50%
- 23 derived long lasting palliation and reqd. no further treatment

### **Category II:**

- 65 pts (16%) – Received previous EBRT now given HDRBT
- 6 wks post treatment: Symptom palliation similar to category I

### **Category III:**

- 17 pts (4%) – EBRT + HDRBT used concurrently similar levels of palliation compared to category I.
- Conclusion: Efficacy of single HDRBT in palliating symptoms comparable with combination of EBRT +HDRT

- Airway stents
  - Play a major role in the management of central airway obstruction
  - Indications:
    - Malignant Neoplasm: Extrinsic compression/submucosal disease  
Before EBRT/Endobronchial RT. in acutely symptomatic pts.  
When all palliative modalities have been exhausted
    - Benign condition: Post-traumatic – fibrotic stricture

- Post Infectious: End bronchial TB  
Fibrosing Mediastinitis
- Post Lung Transplantation: Anastomotic Stenosis
- Tracheobronchomalacia
  - Focal – following TT/RT
  - Diffuse- Idiopathic
  - Relapsing Polychondritis
  - Tracheobronchomegaly
- Benign Tumors :
  - Papillomatosis
  - Amyloidosis
- Miscellaneous : Extrinsic Compression from aortic aneurysm  
tracheal distortion from Kyphoscoliosis

# Types

## (i) Tube Stents

1. Montgomery T-Tube:
  - Silicone: Simultaneous relief of obstr. at subglottic & distal trachea
2. Westaby Modification:
  - Silicone, tube with distal bifurcation straddles carina
3. Dumon :
  - Silicone, external studs, Y tube most widely used.
4. Fretiag:
  - Silicone with antlat wall metallic reinforcement Y shaped: to prevent migration

Metal stents: Radio-opaque

Exhibit varying degrees of dynamic expansibility easy to insert

Two types: Fixed diameter stents: Require Balloon dilatation

Self Expandble stents: Spring to pre-defernined diameter once released

## (ii) Metallic Stents

1. Palmaz: Stainless steel, balloon expandable
2. Wallstent:
  - Cobalt based alloy self expandable
3. Ultraflex:
  - Nitinol self expandable
4. Gianturco:
  - Stainless steel, self expandable

## **Techniques:**

- Screening FOB to confirm need for stent
- Length of obstructed segment measured
- Stent is delivered using 7-9 F co-axial catheter system
- Stent is mounted on an inner catheter whose central lumen allows guide wire to be passed easily. Stent is maintained in its constraining form by outer co-axial catheter

- FOB done to define lesion & placement of skin markers  
Corresponding to distal & proximal ends of obs using following:-
- A guide wire then introduced post the obstructed segment under bronchoscope & fluoroscopic guidance
- FOB withdrawn with guide wire in place
- Stent with its delivery system inserted over guidewire & distal radio-opaque marker band aligned just beyond the distal skin marker.
- Gradual withdrawal of the outer catheter allows controlled deployment of stent under continuous fluoroscopic monitoring.
- Delivery System is then easily removed through expanded stent.



## **COMPLICATIONS:**

Granuloma formation

Infections Tracheitis

Stent Migration

Restenosis

Airway perforation

- Wood DE et al (Ann. Thorac Surg, 2003)
- 143 Pts.: 309 stent procedures
- Cause of CAO:

Benign-33%

Anastomotic, Tracheo  
Bronchomalacia Post-Intubation

Malignant- 67%

- Local extension from Lung/  
Mediastinum/Thyroid  
- Metastatic: Renal, thyroid  
sarcoma, breast

- Majority (77%) > 75% airway narrowing, 82% reqd. Urgent/  
emergent intervention
- 87% of stents: silicone rubber
- 13% Expandable metal stents

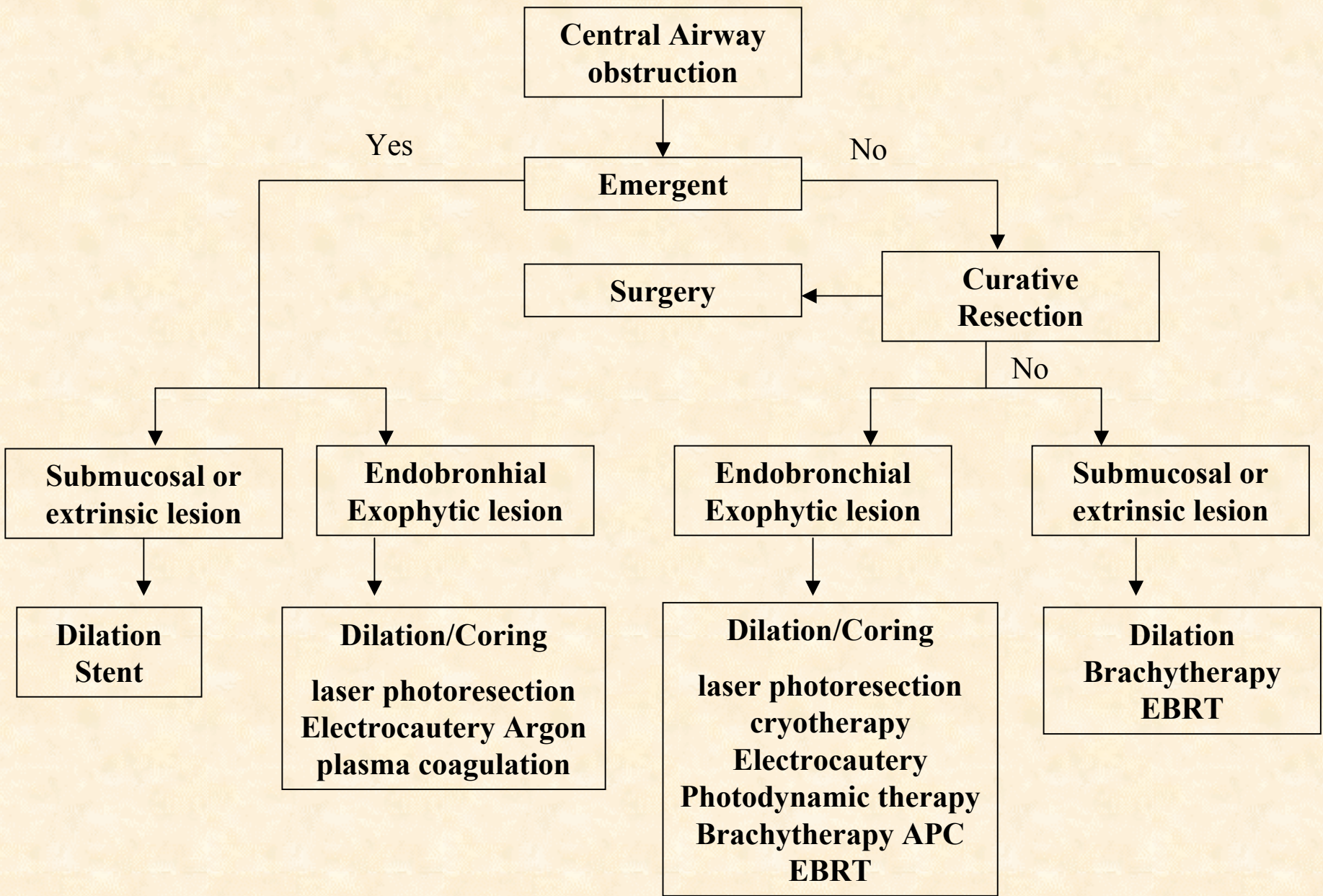
- Complications: 42%
  - Stent
    - Occlusion by secretions
    - Migration
    - Obstr. By granulation
  - Airway perforation – 1%
  - Improvement in symptoms: 95%
  - 95% maintained good palliation 28 mths after original stent in benign disease.
  - 85% maintained palliation for 4mths. in malignant disease.

## **Vonk-Noondegraf A et al (Chest 2001)**

- 14 pts. With imminent suffocation due to major airway obstruction caused by end-stage esophageal cancer (n=5) NSCLC (n=9)
- Stents placed within 24hrs. of hospitalization
- All pts. experienced immediate benefit after stenting (symptom score improved)
- Av Length of survival after stent insertion was 11wks.
- Death mainly due to Tumor progression (10 of 14 pts.)
- No complication was reported

## **Saad CP et al (Chest 2003)**

- Retrospective study at a tertiary care hospital
- 82 pts. who received self expandable metallic airway stents (SEMS)
- Indication:
  - CAO caused by lung cancer (n=50)
  - Post lung transplant (n=11)
  - Other Benign condition (n=21)
- Complications:
  - Infection 15.9%
  - Obstructive granulomas 14.6%
  - Migration 4.7%
- 14 of 16 pts. received mech. ventilation (88%) could be weaned off after procedure



Thanks