

DM-Seminar  
Humidification in ICU

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# Introduction

- Water - all three states of matter within a relatively small temperature range
- Energy is applied to liquid water - water vapor
- Amount of water vapor present in a gas is commonly referred to as humidity
- Measured & expressed in terms of
  - Absolute humidity
  - Relative humidity

# Introduction

- Absolute humidity
  - Amount of water vapor present in a gas mixture
  - Directly proportional to gas temperature
  - At the alveolar level
    - Gas is 37° C
    - 100% relative humidity
    - Contains 43.9 mg H<sub>2</sub>O/L

# Introduction

- Relative Humidity
  - Gas mixture is saturated if it contains the maximum possible amount of water vapor it is capable of holding at that temperature
  - Amount of humidity in a gas that is less than saturated is relative humidity

Relative humidity (%) = (Absolute Humidity) / (Maximum Capacity) X 100

# Introduction

- The relative humidity of a gas saturated with water vapor at any temperature is 100%
- The temperature at which a gas is 100% saturated is known as the dew point

# Airway humidification

- Function of URT – humidification & filtration
- Inspiration – water from airway to inspired gas
- Humidification continues until full saturation
- Expiration
  - Convection – heat from alveolar air to airway
  - Cooling of air reduces capacity to hold vapor so that condensation occurs
  - Releases latent heat of vaporization
  - Rewarms & rehydrates airway mucosa

# Airway humidification

- Mucociliary elevator
  - Comprised of cilia & associated fluid
  - Airway lined with film of liquid  $\sim 10 \mu\text{m}$
  - Watery peri-ciliary (sol) & viscid (gel) layer
  - Cilium bathed in fluid layer & allows it move freely within it
  - Fluid layer –moisture for humidification
  - Claws of the cilium extends through fluid layer contacting viscid layer & propel it cephalad

# Airway humidification

- Mucociliary clearance
  - Viscosity gradient
    - Evaporation of water from mucus – viscosity increases from sol to gel
    - Large water losses – inhibits movement of cilia
    - Generate encrustation of mucus obstructing airway or tracheal tube
    - Irreversible damage to airway epithelium

# Airway humidification

**Isothermic saturation boundary**- Gases reach alveolar conditions (37°C & 100% relative humidity)

**Above ISB**- Countercurrent heat & moisture exchanger

**Below ISB**- Temperature & water content remain constant

**Intubation**- Shifts ISB down with extra burden of heat & moisture exchange on lower tract.

**Mechanical Ventilation** - Delivery of cold, anhydrous gases burdens lower tract & pushes the ISB farther down

**Combined effect of intubation & MV results in:**

- Severe losses of heat and moisture
- Damage to the respiratory epithelium

# Devices for humidification

- Physiology & thermodynamics
  - Inspired air is warmed & humidified - URT
  - Air in pulmonary periphery
    - Saturated (moisture content – 44 mg/l)
    - Temperature of  $37^{\circ}\text{C}$
    - Relative humidity of 100%

# Devices for humidification

- Nasal respiration
  - Ambient air at 22°C & moisture content - 10mg/l

# Devices for humidification

- Intubation

# Devices for humidification

- Requirement for humidification devices
  - Must ensure physiological condition
    - Pulmonary water loss of > 7 mg/L due to ventilation with dry gases should be avoided
    - Ventilation with saturated gases which are warmer than body temperature
  - Methods for providing humidity
    - Heated humidifiers - microprocessor-controlled, heat and humidifying systems
    - Passive humidifiers – heat & moisture exchangers

# High-Flow Humidifiers

- Capable of providing a wide range of temperatures and humidities
- It consists of
  - A heating element
  - Water reservoir
  - Temperature control unit
  - Gas/liquid interface – increases surface area for evaporation

# High-Flow Humidifiers

- **Pass-over Humidifiers**
  - Simplest form of heated humidifier

# High-Flow Humidifiers

- **Wick Humidifiers**

- Variation of pass-over humidifier
- Gas enters a cylinder - lined with a wick of blotter paper
- Wick is surrounded by a heating element & base of the wick is immersed in water
- Wick absorbs water & gas contacts the moist heated wick, the relative humidity of the gas increase

# High-Flow Humidifiers

- **Bubble Humidifiers**
- Gas is directed through a tube submerged in a water reservoir
- Gas bubbles through the water, through a diffuser or grid, and enters the ventilator circuit

# High-Flow Humidifiers

- **Cascade Humidifiers**
- Special form of bubble humidifier
- Gas is directed below the surface of the water reservoir and bubbles upward through a grid
- Grid creates a froth of small bubbles that absorb water
- Efficiently delivers water vapor
- May also deliver micro aerosols
- Temperature in the water reservoir inhibits the growth of pathogens

# Passive humidifiers

- Generic term - humidification devices that operate without electricity or water sources
- Device that collects patient's expired heat & moisture - returns it during inspiration
- Also known as “artificial noses”
- Several types - differences are related to device design

# Passive humidifiers

- **Heat and moist exchangers**
  - Simplest of passive humidifiers
  - Devices that use only physical principles of heat and moisture exchange
  - Consists of a layered aluminum insert with or without an additional fibrous element
  - These devices have nominal moisture output, providing 10–14 mg H<sub>2</sub>O/L at tidal volumes of 500–1000 mL

Br J Anaesth 1974;46(10):773–777

Br Med J 1963;1:300–305

# Passive humidifiers

- **Heat and moist exchanging filter (HMEF)**
  - Addition of a filter to an HME
  - Fitted with a spun & pleated filter media insert
  - HMEFs have improved performance compared with HMEs
  - Moisture output of 18–28 mg H<sub>2</sub>O/L at tidal volume of 500–1000 mL

Respir Care 1996;41(8):736–743  
Intensive Care Med 1995;21(2):142–148

# Passive humidifiers

- Hygroscopic heat & moisture exchangers
  - These devices are hygroscopically treated to improve moisture exchanging properties by adding a chemical
  - Paper or polypropylene insert treated with a hygroscopic chemical-calcium or lithium chloride
  - Moisture output of 22–34 mg H<sub>2</sub>O/L at VT of 500–1000 mL
  - Addition of filter media to HHME creates HHMEF

# Passive humidifiers

- Care of passive humidifiers
  - Assessment of sputum characteristics
  - Suzukawa's method:
    - Thin - Suction catheter is clear of secretions following suctioning
    - Moderate - After suctioning, the suction catheter has secretions adhering to the sides that are easily removed by aspirating water
    - Thick - After suctioning, the suction catheter has secretions adhering to the sides that are not removed by aspirating water

# Passive humidifiers

- Care of passive humidifiers
  - Assessment of adequacy of humidification
    - Presence of condensate in the elbow or flex tube between the HME and patient
    - Complex techniques
      - Radioactive isotopes
      - Bronchoscopic evaluation

# Passive humidifiers

- Duration of passive humidifiers
  - Partial or complete obstruction of endotracheal tubes to occur around 5 days
  - Requiring mechanical ventilation for greater than 5 days – Switch to heated humidifiers
- Frequency of change
  - Changes every 24 hrs
  - Change interval can be increased to every 48 or 72 hours without adverse effect
  - Frequent contamination requiring > 3 changes daily – Switch to heated humidifiers

Chest 1997

Crit Care Med 1998

Intensive Care Med 1992

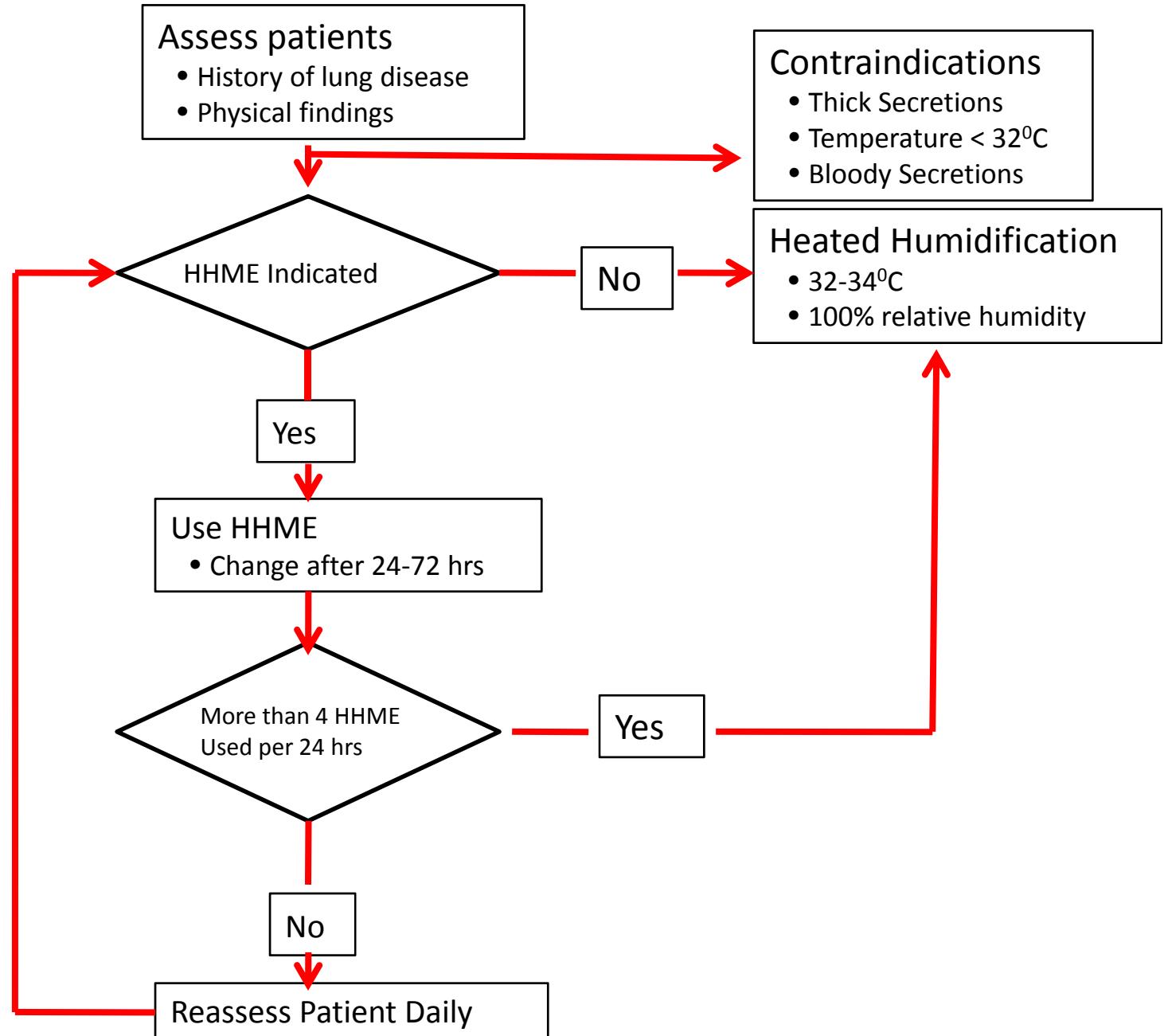
Am J Respir Crit Care Med 1995

Crit Care Med 1999

# Comparison of Devices

|                     | Advantages                     | Disadvantages                 |
|---------------------|--------------------------------|-------------------------------|
| Heated Humidifiers  | Universal application          | Cost                          |
|                     | Wide range of temp. & humidity | Risk of circuit contamination |
|                     | Alarms                         | Condensations                 |
|                     | Temperature monitoring         | Water usage                   |
|                     | Reliability                    | Overheating                   |
|                     |                                | Chance of electric shock      |
| Passive Humidifiers | Cost                           |                               |
|                     | Passive operation              | Increased dead space          |
|                     | Simple use                     | Increased resistance          |
|                     | Elimination of condensate      | Potential for occlusion       |
|                     | Portable                       | Not applicable in all         |

## Use of Appropriate Humidification Device



# Optimum humidification

- Isothermic saturation boundary remains in its natural position
- Inspired air – Saturated, 30<sup>0</sup>C to 33<sup>0</sup>C maintains physiological condition
- Inspired air in trachea - minimal level of 32<sup>0</sup>C at 75% relative humidity - absolute humidity level of 25 mg/L
- Change in humidification device if absolute humidity level of less than 25 mg/L

# Over-humidification

- Defined as ventilation with tracheal gas saturated at or above 32°C – above BTPS
- Humidity above BTPS
  - Progressive worsening of airway structure & function
    - Water condensation in upper airway
    - Decreased mucus viscosity
    - Reduced mucus transport
    - Hypotonicity of secretions
    - Surfactant dilution
    - Thermal cellular damage
    - Airway obstruction

# Over-humidification

- Prolonged water condensation
  - Deposition & accumulation of fluids mimicking a drowning effect
  - Local & systemic water intoxication
  - Weight gain
  - Increased local susceptibility to bacterial invasion leading to pneumonia
  - Dilution inactivation of surfactants

# Over-humidification

- Ventilation with saturated gas above 35°C
  - Mucosal hypermia & necrosis
  - Submucosal acute inflammation
  - Decreased functional residual capacity
  - Copious & thin secretion accumulation exceeding normal ciliary transport capacity
  - Thermo-hydric stress
    - Water condensation
    - Heat retention
    - Tracheobronchial burns

# Under-humidification

- Cyto-morphologic airway modification
  - Decrease in sol phase depth of mucociliary apparatus
  - Hyperviscosity of airway secretions
  - Tracheal inflammation
  - Epithelial ulceration
  - Epithelial necrosis

- Functional airway modification
  - Decrease in humidification capabilities
  - Downward shift of the ISB
  - Decrease in mucus transport velocity, secretion retention
  - Decrease in bronchospasm threshold
  - Alteration of the ciliary function – ciliary paralysis
  - Airway obstruction and increased airway resistance

- Pulmonary, mechanical & functional alteration
  - Atelectasis
  - Decrease compliance
  - V/Q mismatching
  - Increased intrapulmonary shunt
  - Hypoxemia
  - Pulmonary infections

# Humidification devices & VAP

- Respiratory tubing – risk factor for VAP
- Use of HMEs – Decreases VAP
  - Dreyfuss et al – HMEs (61) vs Heated devices (70)
  - Incidence of VAP similar in two groups AJRCCM 1995;151:986-92
  - HME (6/61) & Heated devices (8/70)
- Other studies confirmed this findings
  - Martin et al – Chest 1990;97:144-9
  - Branson et al – Respir Care 1996;41:809-16

# Humidification devices & VAP

- Kirton & coworkers Chest 1998;112:1055-9
  - Randomly allocated 280 trauma patients to HME vs Heated humidifier
  - VAP – HME (9/140-6.4%) & HH (22/140-15.7%)
  - Pitfall
    - Study population was exclusively trauma patient
    - VAP in this population is low as compared to reported figures in same population (17.5%)
    - VAP – No bacteriological sampling
    - Use of poor performing HME in terms of humidity delivery

# Humidification devices & VAP

- Two multicenter RCT – Comparision
  - Memish et al – No difference in the rate of VAP in two groups (11.5% vs 15.8%, $p=0.3$ )
  - Lacherade et al – similar rates of VAP in two groups (25.4% vs 28.8%, $p=0.48$ )
- Previous results & Two RCTs
  - Type of humidification device had no influence on the VAP rate

# Humidification devices & VAP

- Current recommendation
  - No recommendation can be made for the preferential use of either HMEs or heated humidifiers to prevent VAP

MMWR Recomm Rep 2004;53(RR-3):1-36

- Recommend the use of HMEs in patients with no contraindication

Ann Inter Med 2004;141:305-13

# Conclusions

- Humidification devices used must ensure physiological condition
- Proper care of passive humidification devices with switch over to heated humidification devices if
  - Prolonged ventilation
  - Frequent change in HMEs are required