

# Diffusion

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# Diffusion

- Primary function of lung – gas exchange
- Movement of gas across the blood-gas interface is by simple passive diffusion
- “Net transfer of molecules from a zone with high partial pressure to a zone with lower partial pressure”
- Partial pressure v/s concentration gradient

# Components of diffusion pathway

- Gas space within the alveolus
- Alveolar lining fluid – surfactant rich
- Tissue barrier – alveolar capillary membrane
- Plasma layer
- Diffusion into and within the RBC
- Uptake of CO by hemoglobin

# Transfer factor

- CO uptake reflects number of processes – not just diffusion
- CO uptake is a submaximal value and not truly a 'capacity'
- So, TLco – probably better term

# Indications

- Specific indications not defined -
  - variety of testing procedures in use
  - complexity of physiologic determinants of CO uptake
- Most commonly used in evaluation of -
  - diffuse interstitial lesions
  - suspected emphysema
  - pulmonary vascular obstruction
- Useful in diagnosis as well as follow up

# Why carbon monoxide ??

- Gas that is more soluble in blood than in lung tissues (O<sub>2</sub> and CO)
- High affinity for hemoglobin (250 times of O<sub>2</sub>)
- Transfer is diffusion limited rather than perfusion limited
- Not present in lung normally
- CO diffusion is less affected by other factors

$$DL_{O_2} = DL_{CO} \times 1.23$$

# Measuring diffusion capacity

- $DL_{CO} = \frac{\text{CO transferred from alveoli to blood}}{\text{mean alveolar CO pressure} - \text{mean capillary CO pressure}}$  (ml/min) (mm Hg)
- Five methods:
  - (1) Single-breath method
  - (2) Steady-state method
  - (3) Rebreathing method
  - (4) Three-Gas Iteration method
  - (5) Intra-breath method

# Single breath method

- Most widely used and best standardized of the various methods
- System design:
  - source of test gas
  - method of measuring inspired and expired vol over time
  - gas analysers
- System types:
  - with alveolar sample bags
  - with rapid gas analyzer



# Systems with Alveolar Sample Bag

- Test gas
  - 10% helium (He)
  - 0.3% carbon monoxide (CO)
  - 21% oxygen (O<sub>2</sub>)
  - Balance nitrogen (N<sub>2</sub>)
- Breath-hold for approximately 10 sec
- After breath-hold, wash out dead space
- Collect alveolar sample

# Systems with Continuous Rapid Analysis

- Test gas contains methane (CH<sub>4</sub>) as trace gas
- Uses rapid gas analyzer to continuously analyze - expired gas sample

# Performing the Test

- Patient connects to instrument
- Unforced exhalation to RV
- Valve is opened to test gas
- Patient rapidly inhales a full breath of test gas
- Holds breath for about 10 sec
- Patient exhales without max force
- After dead space is cleared, sample is collected/analyzed

# Test Gases

- Tracer gas to measure  $V_A + CO$
- CO approximately 0.3%
- Tracer gas:
  - relatively insoluble
  - chemically and biologically inert
  - gaseous diffusivity similar to CO
  - not interfere with measurement of CO
  - not present normally in alveolar gas
- Gas mixture should be similar to reference set

# Procedure

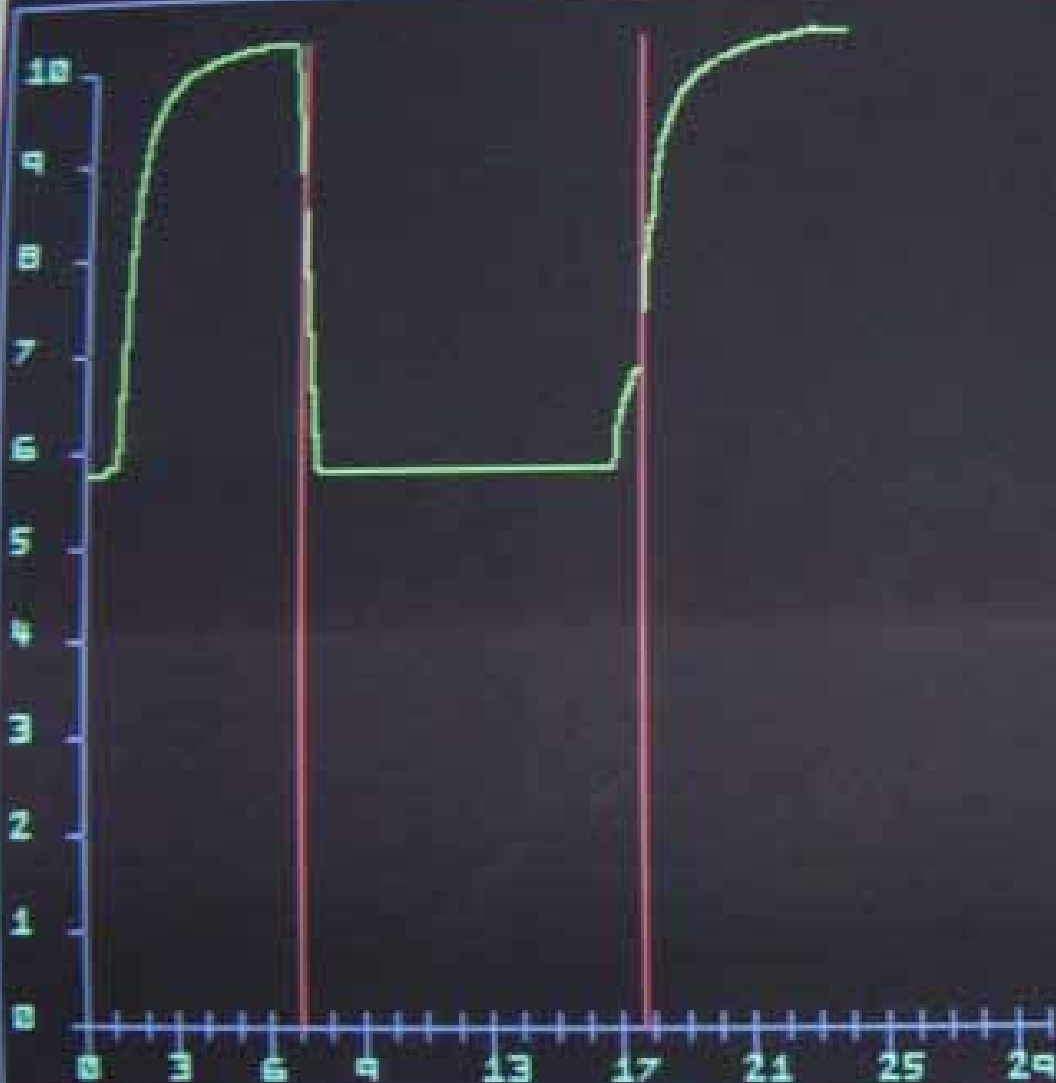
Inspiratory maneuver



Sample collection volume

Dead space washout

breathhold



### Diffusion Data

Helium Inspired	13.94
Co Inspired	.259
Helium Expired	11.23
Co Expired	.092
Diffusion Time	10.66
Inspired Volume	4.02
DLCO Result:	28.90
O2 Expired	15.70
1/Theta	0.934
Hgb :	15.00
V Insp BTPS:	4.41

Hit any key  
when ready

# Technical Sources of Variability

- Patient conditions
- Inspiratory maneuver
- Breath-hold and expiratory maneuver
- Washout and sample collection volume
- Inspired gas composition
- Interval between tests
- Miscellaneous factors

# Patient Conditions

- Explain and demonstrate the test maneuver
- If possible, no supplemental O<sub>2</sub> for >10 min
- Patient seated before and throughout test
- Increased COHb decreases DLCO
  - No smoking on day of test
  - Note time of last cigarette
  - Correction for CO back pressure

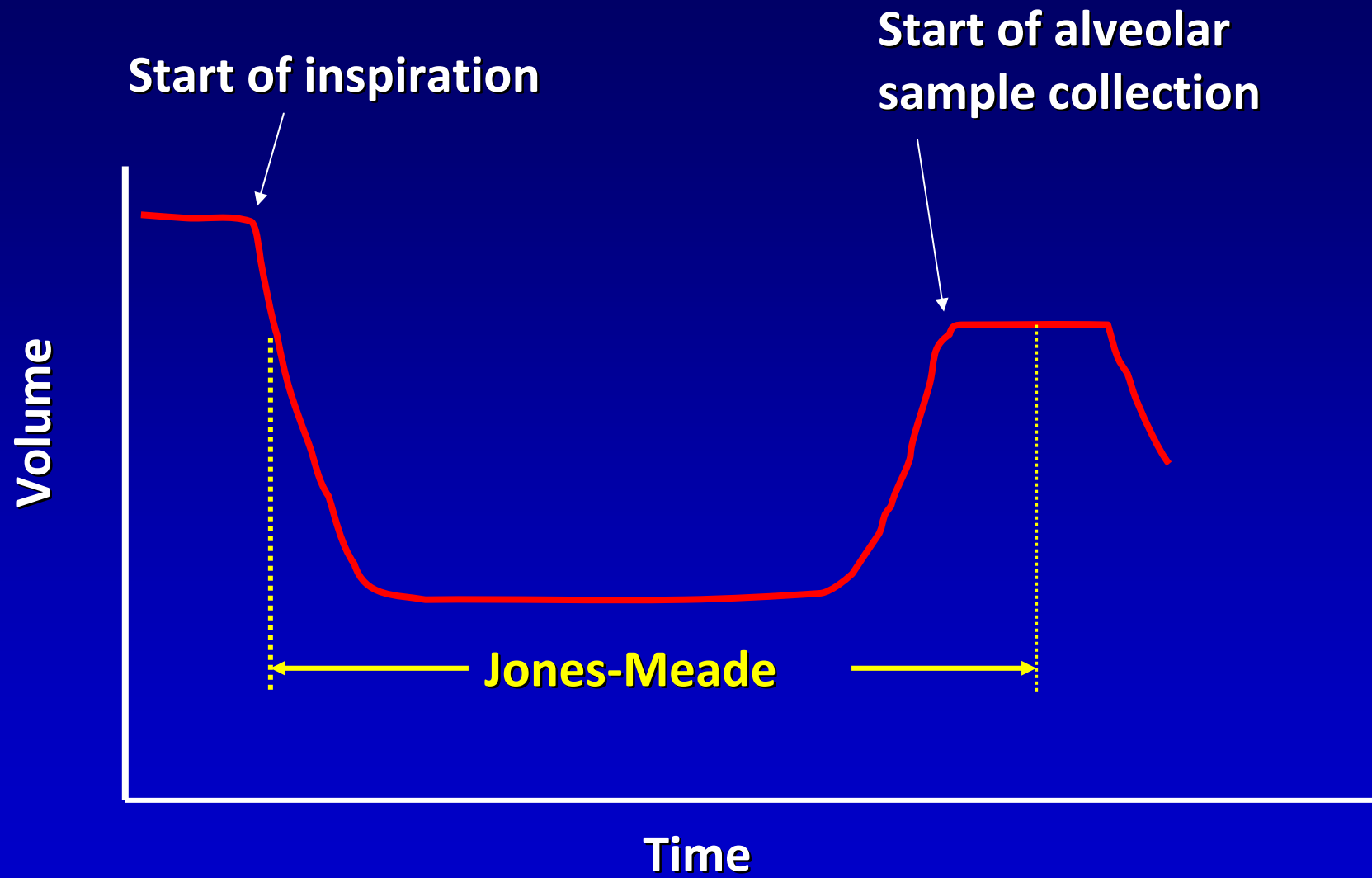


# Inspiratory Maneuver

- Exhalation to RV limited to 6 sec
- Suboptimal  $V_I$ 
  - Suboptimal exhalation to RV
  - Suboptimal inhalation from RV
- $V_I \geq 85\%$  of largest VC
- 85% of  $V_I < 4$  sec
- Smooth inspiration with no stepwise changes

# Breath Hold & Expiratory Maneuver

- No excessive “+” or “-” intrathoracic pressure
- Breath hold of  $10 \pm 2$  sec
- Exhalation time < 4 sec
- Sample collection time < 3 sec



# Washout and Sample Collection Volume

- Anatomic & mechanical dead space gas must be
  - discarded (washed out)
- Underestimate DLco if not enough washout
- Too much delays alveolar sampling and increases BHT
- Washout volume = 0.75 to 1.0 L (BTPS)
- Sample volume = 0.5 to 1.0 L

# Interval between tests

- At least 4 min between tests
- Longer period (~10 min) in obstructive diseases
- Subject should remain seated

# Miscellaneous factors

- Diurnal variation in DLco
- Menstrual cycle
- Ingestion of ethanol or smoking
- Bronchodilator use

# Acceptable DLco test criteria

- Use of proper quality-controlled equipment
- Inspired volume of >85% of largest VC
- Inspired volume obtained within 4 sec
- A stable breath hold for  $10 \pm 2$  sec
- No evidence of leaks, or Valsalva or Mueller maneuvers
- Expiration < 4 sec with appropriate clearance of dead space and proper sampling of alveolar gas

# Repeatability and Number of Tests

- Obtain at least 2 acceptable tests
- Repeatability requirement – 2 acceptable tests
  - within 3 units, OR
  - 10 % of the highest value
- Report the average of 2 acceptable tests
  - that meet repeatability requirement
- More than 5 tests are not recommended



# Reference Values

- Reference equations provide widely discrepant values
- For a 45 yr old man, 175 cm tall - DLco values range from 29.3 to 38.4 units
- Choose based on biologic and technical comparability
- Don't be tempted to just adopt the manufacturer's default

# Reporting

- Average of at least 2 acceptable and repeatable tests
- Report includes:
  - Measured DLco
  - Predicted and percent predicted
  - DLco/VA or Kco
  - Predicted and percent predicted DLCO / VA
  - Any adjustments for Hb, COHb or VA
- If using continuous analyzers, manual adjustments must be noted on report so interpreter can review and verify the adjustments

# Steady-State Method

- Pt. breathes a mixture of 0.1% CO in air for several min through one way valve system
- During last 2 min exhaled gas is collected and analyzed
- ABG also drawn and analyzed for  $P_{CO_2}$
- Can be measured during tidal breathing, anesthesia, sleep, and exercise
- Results are markedly affected by uneven distribution of ventilation or V/Q abnormalities

# Rebreathing Method

- Pt rebreathes the test gas from reservoir, the volume of which equals pt's FEV<sub>1</sub>
- Rebreathing continues for 30-45 s, at controlled rate of 30 per min
- More variable
- Requires considerable patient cooperation to attain rapid respiratory rate required

# Three-Gas Iteration Method

- Uses separate equations for inhalation, breath-hold, and exhalation phases
- More reproducible, and
- Unaffected by a variety of factors esp. abnormalities in distribution of ventilation

# Intra-Breath Method

- DLco is measured at increments of the exhaled volume
- Requires a special, very rapid infrared analyzer
- Does not require a breath hold
- Probably easier for sick patients
- Needs validation

# Unit of DLco

- Traditional:  
mL (STPD).min<sup>-1</sup>.mmHg<sup>-1</sup>
- SI units:  
mmol.min<sup>-1</sup>.kPa<sup>-1</sup>

Traditional = SI x 3

# Factors affecting diffusion capacity

- Changes in the membrane surface area
  - body size
  - lung volume
  - V/Q mismatch
  - posture
  - pathology
- Changes in physical properties of membrane
  - chronic heart failure and pulmonary edema
- Changes related to uptake of gases by RBC's
  - Hb conc and COHb



# Hemoglobin concentration

- DLco directly correlates with Hb
  - 1g/dl decrease Hb – 4% decrease DLco
  - 1g/dl increase Hb – 2% increase DLco

- Cotes and associates\* –

Hb adjusted DLco = measured DLco x

$$\frac{(14.6 \times \text{DM/VC}) + \text{Hb}}$$

$$\text{Hb} \times (1 + \text{DM/VC})$$

- Clin Sci 1972;42:325-335
- Eur Respir J 2005; 26: 720–735

# Carboxyhemoglobin

- COHb affects DLco in two ways -
  - occupying Hb binding sites i.e. “anemia effect”
  - reduced driving pressure for CO transport
- 1% increase in COHb decreases DLco by ~1%
- Heavy smokers may have 10-12% COHb in their blood
- Equation –

$$\text{DLco pred for COHb} = \text{DLco pred} \times (102\% - \text{COHb}\%)$$

# Lung volume

- DLco decreases as lung deflates as a function of both membrane and capillary configuration changes
- Complex relationship, probably non-linear
- Expansion of lung - thinning of ACM, increase in diameter of corner vessels
- $K_{co} = DL_{co} / V_A$

J Appl Physiol 1994;76:2356-63

# Factors affecting....

- Body size: DLco varies with BSA  
better predicted by height & weight
- Age: DLco declines in linear fashion with age
- Gender: Reduced DLco in females  
Highest just before menses, least 5-10 days after it
- Ethnicity: lower in African-Americans, Asians
- Posture: increased in supine position (15-20%)

# Factors affecting....

- Exercise:
  - by ↑sing CO → decrease capillary transit time
  - capillary recruitment in non-dependent zones
  - exercise may double value obtained at rest
  - recovery after high-intensity exercise - 24 hrs
- Smoking:
  - reduced in proportion to no. of cigarettes per day currently smoked, and
  - total lifetime no. of cigarettes ever smoked

J Appl Physiol 1990;68:94-104

Am J Respir Crit Care Med 1996;153:656-64

# Alveolar oxygen pressure

- Inversely related
- DLco increases by 0.31% per mm Hg decrease in  $PiO_2$   
*Am Rev Respir Dis 1986; 133:676–678*
- USA:  $FiO_2$  0.21  
Europe:  $FiO_2$  0.17  
PGI:  $FiO_2$  0.18
- Discontinue suppl.  $O_2$  >10 min before procedure

# Subdivisions of total diffusing capacity

- Roughton and Forster equation\*

$$1/DL = 1/D_M + 1/\theta \cdot V_c$$

- Increasing alveolar  $P_{O_2}$  reduces  $DL_{CO}$  as CO has to compete with  $O_2$  for hemoglobin
- If  $1/DL$  is plotted against  $1/\theta$  : the slope of line is  $1/V_c$  and the intercept on vertical axis is  $1/D_M$

\* J Appl Physiol 1957;11:290-302

# Increased DLco

- Diseases that  $\uparrow$ se  $\theta Vc$  and thus  $\uparrow$ se DLco
  - Polycythemia
  - Left-to-right shunt
  - Pulmonary hemorrhage (not strictly an increase in  $\theta Vc$ , but effectively an increase in lung Hb)
  - Asthma

Eur Respir J 2005; 26: 720–735



# Decreased DLco

- Reduced  $\theta V_c$ 
  - anemia
  - pulmonary emboli
- Reduced  $D_M$ 
  - Emphysema
  - Interstitial lung diseases (e.g. IPF, sarcoidosis)
  - pulmonary edema
  - pulmonary vasculitis
  - pulmonary hypertension
  - lung resection

Eur Respir J 2005; 26: 720–735

# Abnormal DLco

- ILD

- early though nonspecific manifestation
- monitoring progress & Rx
- monitoring people at risk

- COPD

- diagnosis of emphysema
- correlates with severity
- predicts exercise limitation
- predicts mortality

Respir Med. 2004 Jun;98(6):567-73

Respir Med. 2007 Sep;101(9):1961-70

# Abnormal DLco

- Pulmonary Embolism

- unexplained dyspnea + reduced DLco
- correlates with degree of obstruction
- reductions persist for 3 yrs

Med Klin (Munich). 1990 Jun 15;85(6):366-70

- CHF

- Increased in early CCF
- Decreased in advanced & chronic cases
- correlates with NYHA class

Heart 2005;91:1473–1474

Eur Heart J 2006;27:2538–2543

# Interpretation

- Lower 5th %tile of the reference population should be used as LLN for DLco and Kco
- Relationship between DLco and lung volume is not linear, so DLco/VA or DLco/TLC do not provide an appropriate way to normalize DLco for lung volume
- Conceptually, low DLco but high DLco/VA: extraparenchymal abnormality (e.g. pneumonectomy or chest wall restriction)
- Low DLco and low DLco/VA: parenchymal abnorm

# Degree of severity

	DLco % predicted
Mild	>60% but <LLN
Moderate	40-60%
Severe	<40%

Eur Respir J 2005; 26: 948–968